



# 强关联电子体系中的量子磁性、量子物质 和量子材料

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量子磁性与多体计算培训班

## 水:看似寻常无奇,实则暗藏乾坤



# 看点1: First-order liquid-gas transition

- There is a density jump at the liquid-gas transition, signifying a first-order phase transition.
- The density jump decreases at higher temperatures/pressures, and the first-order line ends at the liquid-gas critical point.



## 看点2: Pauling剩余熵

In ice  $I_h$ , the oxygens form a periodic lattice isomorphic to the centre of the tetrahedra in the pyrochlore structure.



O–O 键长2.75Å, O–H键长0.96Å 在聚集体中,水分子会和多达 4 个 其它水分子通过**氢键**相结合。

伯纳尔-福勒"冰法则"



https://glossarytest.ametsoc.net/wiki/Bernal-fowler\_rules

看点2: Pauling剩余熵

The disordered arrangements of hydrogens produce an extensive amount of ice-ruled configurations  $\Omega$ .

In 1935, Pauling calculated that  $\Omega = (2)^{2N} \left(\frac{6}{16}\right)^{N} = \left(\frac{3}{2}\right)^{N}$ 

L. Pauling, J. Am. Chem. Soc. 57, 2680 (1935)

### In 1966, Nagle correlated the value of *w* from 3/2 to 1.5069.

J. F. Nagle, J. Math. Phys., 7,1484-91 (1966)

The number of configurations Ω determines the **Pauling residual** entropy *S*:

$$S = k_B \ln w = 3.41 \text{ J/(mol \cdot K)}$$

HINT: from water ice to spin ice



## 看点2: Pauling剩余熵



## 2016年诺贝尔物理学奖



© Nobel Media AB. Photo: A. Mahmoud David J. Thouless Prize share: 1/2



© Nobel Media AB. Photo: A. Mahmoud F. Duncan M. Haldane Prize share: 1/4



© Nobel Media AB. Photo: A. Mahmoud J. Michael Kosterlitz Prize share: 1/4

The Nobel Prize in Physics 2016 was awarded with one half to David J. Thouless, and the other half to F. Duncan M. Haldane and J. Michael Kosterlitz "for theoretical discoveries of topological phase transitions and topological phases of matter".

## 2017年APS巴克利奖



Alexei Kitaev California Institute of Technology



Xiao-Gang Wen Massachusetts Institute of Technology

The APS's 2017 Oliver E. Buckley Condensed Matter Prize was awarded to Alexei Kitaev and Xiao-Gang Wen ""for contributing to the understanding of topological order and its consequences in a broad range of physical systems, including the fractional quantum Hall effect, frustrated magnets, and topological states protected by symmetry".

## Outline

## □ 朗道相变理论和新突破

- ▶ Ising模型中的相变
- ▶ Kosterlitz-Thouless相变和去禁闭量子临界点
- ▶ Luttinger液体和Haldane相

## □ 量子自旋液体和自旋轨道耦合型材料

- ▶ 量子自旋液体简介
- > 三角晶格上的量子材料
- ▶ 蜂窝晶格上的量子材料

## □ Kitaev-Γ模型中的物理

- ▶ 自旋S=1/2和1的Kitaev-Γ自旋链
- ▶ 自旋S=1的Kitaev-Γ模型

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## □ Kitaev-Γ模型中的物理

- ▶ 自旋S=1/2和1的Kitaev-Γ自旋链
- ▶ 自旋S=1的Kitaev-Γ模型

## **History of Ising model**



- A linear chain of two-state spins cannot undergo a phase transition at finite temperature.
- Ising purportedly showed that his model can not exhibit a phase transition in two and three dimensions, either.

## **The Pierls arguments**



domain wall in 1D



 $F = U - TS = (E_0 + 4J) - T \ln L \rightarrow \text{Tc}=0$ 

## **The Pierls arguments**



Here, it is more advantageous (from a free energy point of view) to have the system dividing up into an infinite number of domains.

## **Magnetism: The Ising Model**

Rules for the Ising Model:  $\sigma = +1(\uparrow), -1(\downarrow)$ 

Spins can be only in two states:

$$N = N_{up} + N_{down}, \ m = \frac{N_{up} - N_{down}}{N}.$$



**D** No. of spin configuration: 
$$\Omega = \binom{N}{N_{up}} = \binom{N}{N(1+m)/2}$$

#### **Thermal entropy (per site)**:

$$s = \frac{S}{N} = \frac{1}{N} \ln \Omega \approx \ln 2 - \frac{(1+m)\ln(1+m)}{2} - \frac{(1-m)\ln(1-m)}{2}$$

**□** energy (per site):  $e = \frac{E}{N} \approx -\frac{J}{N} \sum_{i} m^2 = -\frac{1}{2} Jzm^2$ 

According to the Bragg-Williams theory, the free energy per site

$$f(T,m) = e - Ts$$

 $f(T,m) = -\frac{1}{2}Jzm^2 + \frac{1}{2}T[(1+m)\ln(1+m) + (1-m)\ln(1-m)] - T\ln 2.$ 

Expanding for small *m*,

$$(1+x)\log(1+x) + (1-x)\log(1-x) \approx x^2 + \frac{x^4}{6} + \mathcal{O}(x^5)$$

**Bragg-Williams free energy per site** 

$$f(T,m) = \frac{F(T,m)}{N} = \frac{U - TS}{N} \simeq -T \ln 2 + \frac{1}{2}(T - T_c)m^2 + \frac{1}{12}Tm^4, \ T_c = \frac{Jz}{k_B}$$



## **Critical exponents**

- specific heat:  $C \propto |t|^{-\alpha}$
- magnetization:  $m \propto |t|^{-\beta}$
- magnetic susceptibility:  $\chi \propto |t|^{-\gamma}$
- correlation length:  $\xi \propto |t|^{-\nu}$

These exponents display criticality universality. This explains the success of the Ising model in providing a quantitative description of real magnets.

对于二维lsing模型: 
$$C \approx -Nk \frac{2}{\pi} \left( \frac{2J}{kT_c} \right)^2 \ln \left| 1 - \frac{T}{T_c} \right|$$
  
$$m = \left\{ \left[ 1 - \left( \sinh \left( \ln \left( 1 + \sqrt{2} \right) \frac{T_c}{T} \right) \right)^{-4} \right]^{1/8}, \quad T < T_c$$
$$0, \quad T \ge T_c \right\}$$

## 序参量和对称性自发破缺



## Landau's paradigm: 有序-无序相变



在凝聚态物理学发展历程中, 朗道一金兹堡相变理论奠定了人们 对物质形态和有序相及其相变的认识基础, 在结合了威尔逊重正 化群理论后, 形成了<mark>朗道一金兹堡一威尔逊范式</mark>, 并成为整个现 代物理学宏伟大厦的重要基石。

张广铭和朱国毅, 物理, 50(9), 569-582 (2021)

## Beyond Landau's paradigm: 拓扑相变

✤ Topological phase transition:无序-无序相变

#### The Mermin-Wagner Theorem

In one and two dimensions, continuous symmetries cannot be spontaneously broken at finite temperature in systems with sufficiently short-range interactions.

Consider a FM Heisenberg model with nearest-neighbor interaction

$$H = -J\sum_{\langle i,j\rangle} \vec{S}_i \cdot \vec{S}_j + \frac{g\mu_B}{\hbar} B_z \sum_i S_i^z \quad \text{with} \quad J > 0 \quad \text{and} \quad B_z \to 0^+$$

D(E): 态密度

The spontaneous magnetization at T = 0 will be reduced by the excitation of magnons for T > 0, i.e.,

$$\Delta M_s(T) = M_s(0) - M_s(T) \propto \int_0^\infty \frac{D(E)}{\mathrm{e}^{\frac{E}{k_B T}} - 1} \mathrm{d}E$$

## **Mermin-Wagner theorem**

The density of states can be calculated from the magnon dispersion relation:

$$D(E) \propto \int_{S(E)} \frac{\mathrm{d}s}{\left|\frac{\partial}{\partial k}E(k)\right|} \qquad D(E) \propto \frac{E^{\frac{d-1}{2}}}{\sqrt{E}} = E^{\frac{d-2}{2}}$$
$$S(E) \propto k^{d-1} \propto E^{\frac{d-1}{2}} \quad \text{and} \quad \left|\frac{\partial}{\partial k}E(k)\right| \propto \frac{1}{\sqrt{E}}$$

The reduced spontaneous magnetization:

$$\Delta M_s(T) \propto \int_0^\infty \frac{E^{\frac{d-2}{2}}}{\mathrm{e}^{\frac{E}{k_B T}} - 1} \mathrm{d}E \propto T^{\frac{d}{2}} \int_0^\infty \frac{x^{\frac{d-2}{2}}}{\mathrm{e}^x - 1} \mathrm{d}x = T^{\frac{d}{2}} \zeta\left(\frac{d}{2}\right) \Gamma\left(\frac{d}{2}\right)$$

There is no spontaneous magnetization for T > 0 and  $d \le 2!$ 

## **Two-dimensional XY model**

$$H = -\frac{1}{2} \sum_{r,r'} J(r - r') \mathbf{S}(r) \cdot \mathbf{S}(r') = -\frac{1}{2} \sum_{r,r'} J(r - r') \cos(\theta_r - \theta_{r'})$$

• reduced Hamiltonian

$$\mathcal{H} \equiv -\beta H = K \sum_{\langle i,j \rangle} \cos(\theta_i - \theta_j)$$

where 
$$\beta = 1/k_B T$$
 and  $K = \beta J$ 

• effective Hamiltonian

$$\mathcal{H} = E_0 - \frac{K}{2} \int d^2 x \, |\nabla \theta(x)|^2$$

where 
$$E_0 = 2KL^2/a^2$$
:

低温下的自旋-自旋关联函数

$$\langle \vec{S}_i \cdot \vec{S}_j \rangle = \operatorname{Re} \langle e^{i(\theta(\vec{r}_i) - \theta(\vec{r}_j))} \rangle = \frac{1}{|\vec{r}_i - \vec{r}_j|^{\frac{T}{2\pi J}}}$$
 代数型衰减

高温下的自旋-自旋关联函数

 $\langle \vec{S}_i \cdot \vec{S}_j \rangle \propto K^{|\vec{r}_i - \vec{r}_j|} \propto e^{-(\vec{r}_i - \vec{r}_j)/\left(\frac{1}{\ln(T/J)}\right)} \frac{1}{4} \underbrace{4}{3} \underbrace{4}{3} \underbrace{4}{3} \underbrace{7}{3} \underbrace{7}{3$ 

• The periodicity of spins allows topological vortex configurations.

Spontaneous vortex formation

$$U = \frac{J}{2} \int d^2 r \left(\nabla \theta(\vec{r})\right)^2 = J\pi \int_a^L \frac{dr}{r} = J\pi \ln \frac{L}{a}$$
$$F = E - TS = (\pi J - 2k_B T) \ln \frac{L}{a}$$
$$S = k_B \ln \Omega = k_B \ln \left(\frac{L^2}{a^2}\right) = 2k_B \ln \frac{L}{a}$$
$$\lim_{L \to \infty} \Delta F = \begin{cases} -\infty & \text{if } T > \frac{\pi J}{2k_B} \\ +\infty & \text{if } T < \frac{\pi J}{2k_B}. \end{cases}$$

涡旋的出现使得体系的自旋从低温时的准长程序变成高温时的完 全无序。因此, KT相变本质上是拓扑缺陷(即涡旋)诱导的相变。



Above  $T_{KT}$ , the vortices proliferate and their correlation function decays **exponentially**, and the correlation length diverges extremely rapidly near the critical point.





- No local order parameter
- No spontaneously symmetry breaking
- Phase transition caused by topological excitations
- Termed Infinite order phase transition (in the sense that no discontinuity in any order derivative of free energy)
- A phase transition that is beyond Landau paradigm
- Topology is an important concept in modern condensed matter physics.

## **TmMgGaO<sub>4</sub>: Realization of KT transition**



$$H_{\mathrm{TLI}} = J_1 \sum_{\langle i,j \rangle} S_i^z S_j^z + J_2 \sum_{\langle \langle i,j \rangle \rangle} S_i^z S_j^z - \sum_i (\Delta S_i^x + h g_{\parallel} \mu_B S_i^z),$$





## **TmMgGaO<sub>4</sub>: Realization of KT transition**



## Beyond Landau's paradigm: 去禁闭量子临界点

✤ Deconfined quantum criticality:有序-有序相变



## Beyond Landau's paradigm: 去禁闭量子临界点

# ✤ Deconfined quantum criticality:有序-有序相变 Deconfined Quantum Critical Points

T. SENTHIL, ASHVIN VISHWANATH, LEON BALENTS, SUBIR SACHDEV, AND MATTHEW P. A. FISHER Authors Info & Affiliations



# SrCu<sub>2</sub>(BO<sub>3</sub>)<sub>2</sub>: Realization of DQCP



## **Spinon excitation and Luttinger liquid**

**Heisenberg spin chain** 
$$\mathcal{H} = J \sum_{j} \vec{S}_{j} \cdot \vec{S}_{j+1}$$

• **for spin-1/2 case**: the ground state is gapless with an algebraical decay of spin-spin correlation function (**quasi-long-range** order).

#### Lieb-Schultz-Mattis (LSM) theorem

If a quantum spin system defined on a lattice has odd number of spin-1/2 per unit cell, then any local spin Hamiltonian which preserves the spin and translation symmetry cannot have a featureless (gapped and nondegenerate) ground state.

## **Bethe ansatz**

• 
$$e_g^{\text{LL}} = 1/4 - \ln 2 = -0.44314718 \cdots$$
  
H. A. Bethe (1931); L. Hulthen (1938)  
•  $\langle S_0 \cdot S_n \rangle \propto (-1)^n \frac{\sqrt{\ln n}}{n}$ 

Johnson etal., PRA 8, 2526 (1973)

## **Spinon excitation and Luttinger liquid**

**Heisenberg spin chain** 
$$\mathcal{H} = J \sum_{j} \vec{S}_{j} \cdot \vec{S}_{j+1}$$

• **for spin-1/2 case**: the ground state is gapless with an algebraical decay of spin-spin correlation function (**quasi-long-range** order).





→ Continuous spectrum implies that the ground state is a spin liquid with the spinon as its excitation.

## **Spinon excitation and Luttinger liquid**

 $\mathcal{H} = J \sum \vec{S}_j \cdot \vec{S}_{j+1}$ Heisenberg spin chain

• **for spin-1 case**: the ground state is gapped with an exponential decay of spin-spin correlation function (**short-range** order).



#### F. D. M. Haldane, PRL 50, 1153 (1983)

David J. Thouless, F. Duncan M. Haldane, J. Michael Kosterlitz

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#### F. Duncan M. Haldane -Facts



F. Duncan M. Haldane

Born: 14 September 1951, London, United Kingdom

Affiliation at the time of the award: Princeton University, Princeton, NJ, USA

Prize motivation: "for theoretical discoveries of topological phase transitions and topological phases of matter"

Prize share: 1/4

## Haldane conjecture

- > For  $S = \frac{1}{2}, \frac{3}{2}, \frac{5}{3}, \cdots$ , the ground state is gapless.  $\langle \vec{S}_i \cdot \vec{S}_j \rangle \propto \left(\frac{1}{r}\right)^{\eta}$  similar to the low-*T* phase of 2D XY model
- > For  $S = 1, 2, 3, \dots$ , the ground state is gapped.
  - $\langle \vec{S}_i \cdot \vec{S}_j \rangle \propto \exp\left(-\frac{r}{\xi}\right)$  similar to the **high-T** phase of 2D XY model

## ☆ DMRG Calculation of Haldane Gap

$$\Delta_{\text{Hald}} \propto \hbar c / \xi = J S e^{-\pi S}$$
. arXiv:1906.12207

 $\Delta (S = 1) \simeq 0.41050(2)$  $\Delta (S = 2) \simeq 0.0876(13)$ 

S. R. White & D. A. Huse, PRB (1993)

X. Wang, S. Qin, and L. Yu, PRB (1999)

## edge states and symmetry fractionalization

• Spin-1/2 edge states



- > Spin singles are formed between nearest-neighbor sites.
- There are two isolated spin 1/2's at the ends of an open chain, giving rise to a four-fold ground-state degeneracy.



## Nonlocal string order parameter

Diluted AFM order

• Hidden string order parameter

M. den Nijs & K. Rommelse, PRB 40, 4709 (1989)

$$\mathcal{O}_{\mathrm{str}}^{\alpha} = -\lim_{|i-j| \to \infty} \left\langle S_i^{\alpha} e^{i\pi \sum_{l=i+1}^{j-1} S_l^{\alpha}} S_j^{\alpha} \right\rangle, \quad \alpha = x, y, z.$$

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## **Triangular Ising antiferromagnet**

frustrated vs un-frustrated



**Geometrical Frustration**: The geometry of the lattice precludes the *simultaneous* minimization of all interactions.



# **Triangular Ising antiferromagnet**

Extensive ground-state degeneracy

at least 2<sup>N/3</sup> ground-state degeneracy.

residue entropy  $s > \ln 2/3 \approx 0.210$ .

#### Residue entropy





# **Triangular Heisenberg antiferromagnet**



geometrical frustration

three-sublattice 120° order

# **Triangular Heisenberg antiferromagnet**



#### RESONATING VALENCE BONDS: A NEW KIND OF INSULATOR ?\*

P. W. Anderson Bell Laboratories, Murray Hill, New Jersey 07974 and Cavendish Laboratory, Cambridge, England

(Received December 5, 1972; Invited\*\*)

Anderson's proposal:Anderson, Mater. Res. Bull. 8, 153 (1973)a short-range Resonating-Valence Bond (**RVB**)state



Linear superposition of infinite No. of VB configurations

# **Quantum Spin Liquid**

QSL is a nonmagnetic state with long-range entanglement





Xiao-Gang Wen

(cannot be written as a product state of short-rangeentangled blocks)



Product state of short-range entangled blocks

# **Quantum Spin Liquid**







fractionalized excitations spinon, vison, etc

continuum spectrum  $S(q, \omega)$ 

topological degeneracy

#### 1D example: spin-1/2 Heisenberg chain



No energy cost in moving spinons far apart

# 几何阻挫: Kagome Lattice

Kagome Heisenberg model

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j, \quad J > 0$$



Herbertsmithite:  $ZnCu_3(OH)_6Cl_2$ 

Shores, et al., J. Am. Chem. Soc. (2005).



- > low dimension (d = 2)
- $\blacktriangleright$  low spin (S = 1/2)
- > low coordination number (z = 4)
- > non-bipartite nature

#### Kagome SL

Yan et al., Science **332**, 1173 ('11) Liao et al., PRL **118**, 137202 ('17) and more ...



Hu, et al., PRL **123**, 207203 ('19) S-S Gong, et al. PRB 100, 241111(R) ('19) F. Ferrari and F. Becca. PRX 9, 031026 ('19), and more

竞争相互作用: J1-J2模型

 $\mathcal{H} = J_1 \sum_{\langle ij \rangle} S_i S_j + J_2 \sum_{\langle \langle ij \rangle \rangle} S_i S_j$ 

四方晶格







### 交换耦合效应: Kitaev蜂窝模型

$$\mathcal{H} = -\sum_{\gamma - \text{bonds}} K_{\gamma} \ S_i^{\gamma} S_j^{\gamma}$$

#### **Kitaev SL**

Kitaev, Ann. Phys. **321**, 2-111 ('06)







### 交换耦合效应: Kitaev蜂窝模型



# 三角晶格QSL候选材料:YbMgGaO4





Li et al., Scientific Reports 5, 16419 (2015). Li et al., Phys. Rev. Lett. 115, 167203 (2015).

- rare-earth, Yb<sup>3+</sup>, **J**=7/2, + crystal-field splitting
- lowest doublet, effective S=1/2
- anisotropic exchanges ... (compare to Heisenberg)
- octahedral environment ...
- $\circ$  lattice symmetries  $\Rightarrow$  **four** terms in the exchange matrix
- mostly nearest-neighbor exchanges (*F*-electrons)

# 三角晶格QSL候选材料:YbMgGaO4

Experimental facts

 $\succ$  C<sub>v</sub> ~ T<sup>2/3</sup> at H=0

Li et al., Sci. Rep. 2015, Y.Xu, et al, PRL 2016, J. Paddison et al, NPhys 2017

> Constant susceptibility at T $\sim$ 0

Li et al., PRL 2015, Y. Shen, Nature 2016

➢ Constant µSR rate

Li et al., PRL 2016

Zero spin entropy: spin singlet ground state

Li et al., PRL 2015, J. Paddison et al, NPhys, 2017

Neutron scattering: Diffusive spin excitations & Spinon Fermi surface Y. Shen, et al Nature 2016, J. Paddison et al, NPhys 2017

 $\Rightarrow$  U(1) gapless quantum spin liquid

# 三角晶格QSL候选材料:YbMgGaO4

$$\mathcal{H} = \sum_{\langle ij \rangle} \left[ \underbrace{J_{zz} S_i^z S_j^z + J_{\pm} (S_i^+ S_j^- + S_i^- S_j^+)}_{\langle ij \rangle} \longleftrightarrow XXZ \text{ term} \quad Q. \text{ Luo et al., PRB ('17)} \right]$$

$$+ \underbrace{J_{\pm\pm}(\gamma_{ij}S_i^+S_j^+ + \gamma_{ij}^*S_i^-S_j^-) - \frac{iJ_{z\pm}}{2}(\gamma_{ij}^*S_i^+S_j^z - \gamma_{ij}S_i^-S_j^z + \langle i \leftrightarrow j \rangle)}_{2} = \underbrace{\operatorname{SOC}}_{2}$$





### 蜂窝晶格QSL候选材料: α-RuCl<sub>3</sub>



# 蜂窝晶格QSL候选材料: α-RuCl<sub>3</sub>

in-plane magnetic-field-induced QSL



J. Zheng, et al., PRL (2017)

J. A. Sears, et al., PRB (2017)

### 蜂窝晶格QSL候选材料: α-RuCl3

half-integer quantized thermal Hall conductivity



Kasahara, Y. et al. *Nature* 559, 227–231 (2018).

# 蜂窝晶格QSL候选材料: α-RuCl<sub>3</sub>

absence of quantized thermal Hall conductivity



Peter Czajka, et al. *Nature Mater.* 22, 36-41 (2023).



Yb基QSL候选材料



Chin. Phys. Lett. 35, 117501 (2018)

Quan. Frontiers. 1, 13 (2022)

丰富的稀土磁体,为探索QSL提供了肥沃的土壤

Co基Kitaev材料

Na<sub>2</sub>Co<sub>2</sub>TeO<sub>6</sub>

 $BaCo_2(AsO_4)_2$ 



**Possible evidences of QSL have been reported in several Co-based Kitaev materials.** 

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## Kitaev materials and Kitaev-Γ model

#### **non-Kitaev** interactions in Kitaev materials



zigzag order

Ten  $T_N = 15 \text{ K}$  $T_N = 7 \text{ K}$  if

 $A_2 IrO_3$  (A = Li, Na)



Singh and Gegenwart, PRB 82, 064412 ('10)





Plumb et al., PRB **90**, 041112(R) ('14)

• zigzag order (4-site)

Gaoting Lin, et al., NC (2021)

• triple-q order (8-site)

W. Chen, et al., PRB (2021)W. G. F. Fruger, et al., PRL (2023)

### Kitaev materials and Kitaev-Γ model



When  $\mathbf{K}\Gamma < \mathbf{0}$  (e.g., K<0 &  $\Gamma > 0$ ), the model is frustrated!

# Kitaev materials and Kitaev-Γ model

### **O Debates on the spin-1/2 Kitaev-Γ model**

infinite DMRG - cylinder geometries	M. Gohlke, et al., PRR 2, 043023 ('18)				
correlated paramagnet					KSL
0.5					0.98 1
VMC (2x14x14 torus)	J. Wang, B. Norman	d, and ZX.	Liu, PRL	1 <b>23</b> , 1972	201 ('19)
zig	zag	IC	PKSL	FM	KSL
0.5		0.8 0.8	4 0	.92 0.9	5 1
pseudofermion fRG	F. L. Buesse	n and Y. B. F	Kim, PRB 1	1 <b>03</b> , 1844	07 ('21)
IC			FN	Λ	KSL
0.5		C	0.85	0.94	1
Effective models + ED (up to 36 sites)		I. Rouso	chatzakis, e	et al., unp	ublished
algebraic spin liquid of $\eta$ variables			(?)		KSL
0.5		~0.8		C	.96 1
+ <b>Γ limit</b>	$\longrightarrow \phi/\pi$			—,	K limit

for a review, see:

Ioannis Rousochatzakis, Natalia B Perkins, **Qiang Luo**, and Hae-Young Kee\* Beyond Kitaev physics in strong spin-orbit coupled magnets, Rep. Prog. Phys. 87, 026502 (2024).

# From 2D to 1D: Reducing the complexity

**2D** K-Γ model

#### ID anisotropic K-Γ chain

$$H = \sum_{\langle i,j \rangle \in \alpha\beta(\gamma)} [KS_i^{\gamma}S_j^{\gamma} + \Gamma(S_i^{\alpha}S_j^{\beta} + S_i^{\beta}S_j^{\alpha})]$$





$$\begin{aligned} \mathcal{H} &= \sum_{l=1}^{L/2} g_x \mathcal{H}_{2l-1,2l}^{(x)}(\theta) + g_y \mathcal{H}_{2l,2l+1}^{(y)}(\theta) \\ \mathcal{H}_{i,j}^{(\gamma)}(\theta) &= K S_i^{\gamma} S_j^{\gamma} + \Gamma(S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha}) \end{aligned}$$



#### Motivation

- ➢ insight into 2D problems
- analytical methods, e.g., CFT, bosonization, are available
  DMRG and TMRG!

### **Bond-alternating Kitaev-Γ chain**

$$\begin{aligned} \mathcal{H} &= \sum_{l=1}^{L/2} g_x \mathcal{H}_{2l-1,2l}^{(x)}(\theta) + g_y \mathcal{H}_{2l,2l+1}^{(y)}(\theta) \\ \mathcal{H}_{i,j}^{(\gamma)}(\theta) &= K S_i^{\gamma} S_j^{\gamma} + \Gamma(S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha}). \end{aligned}$$

$$g \equiv g_y/g_x$$

### Symmetry analysis

- > Self-dual relation  $(S_i^x, S_i^y, S_i^z) \rightarrow (-S_i^y, -S_i^x, -S_i^z) \longrightarrow E(g) = gE(1/g)$
- > Mirror symmetry

 $(S_i^x, S_i^y, S_i^z) \to (S_i^y, -S_i^x, S_i^z) \qquad \blacksquare \qquad E(K, \Gamma) = E(K, -\Gamma)$ 

> Hidden SU(2) symmetry



### **Bond-alternating Kitaev-Γ chain**

#### **Site-ordering cross decimation** $(U_6)$ rotation

sublattice 1 :  $(x, y, z) \rightarrow (\tilde{x}, \tilde{y}, \tilde{z})$ , sublattice 2 :  $(x, y, z) \rightarrow (-\tilde{x}, -\tilde{z}, -\tilde{y})$ , sublattice 3 :  $(x, y, z) \rightarrow (\tilde{y}, \tilde{z}, \tilde{x})$ , sublattice 4 :  $(x, y, z) \rightarrow (-\tilde{y}, -\tilde{x}, -\tilde{z})$ , sublattice 5 :  $(x, y, z) \rightarrow (\tilde{z}, \tilde{x}, \tilde{y})$ , sublattice 6 :  $(x, y, z) \rightarrow (-\tilde{z}, -\tilde{y}, -\tilde{x})$ ,



#### > Hidden SU(2) symmetry

Yang, et al., PRL 124, 147205 (20)

When  $\mathbf{K} = |\Gamma| > 0$ , FM SU(2) Heisenberg point When  $\mathbf{K} = -|\Gamma| < 0$ , AFM SU(2) Heisenberg point

### bond-alternating spin-1/2 K-Γ chain



# Ising $A_x$ - $A_y$ topological phase transition



### Ising $A_x$ - $A_y$ topological phase transition

**Solution** Example at  $\theta = 0.48\pi$ : String order parameter



 $\mathcal{O}_{K}^{x/y}(n) = e^{1/4} 2^{1/12} A^{-3} n^{-1/4} \left(1 - \frac{1}{64} n^{-2} + \cdots\right), \ A \simeq 1.2824.$ 

## Ising $A_x$ - $A_y$ topological phase transition

#### **Solution** Example at $\theta = 0.48\pi$ : Central charge

G.S. degeneracy  $O(2^{N/2})$ , leading to a huge entanglement entropy S:

$$S(l) = -\operatorname{tr}(\rho \ln \rho) = \frac{c}{3} \ln \left( \frac{L}{\pi} \sin \left( \frac{\pi l}{L} \right) \right) + c'$$



### **Even-Haldane—Odd-Haldane transition**

**)** Example at  $\theta$  = 0: String order parameters



### bond-alternating spin-1 K-Γ chain



<u>Q. Luo</u>, S. Hu, and H.-Y. Kee, PRR **3**, 033048 (2021) Haldane phase vs Kitaev phase

### Haldane phase: SOP and edge states

String Order Parameter



□ when  $\theta = -\frac{\pi}{4}$  (i.e., AFM **Heisenberg chain**),  $O_H^Z \approx 0.3743$ ; □ when  $\theta = 0$  (i.e., **Γ chain**),  $O_H^Z \approx 0.4935$ .

### Haldane phase: SOP and edge states

#### G.S. degeneracy and Edge states


#### **Kitaev phase: Spectra and Excitations**



# **Double-peak specific heat in Kitaev phase**

#### **Thermodynamics**

partition function 
$$\mathbf{\Xi} = \mathbf{Tr}(e^{-\beta H})$$
   
free energy  $F = -\beta^{-1} \ln \Xi$ 

$$C_v = \frac{1}{N} \left( \frac{\partial U}{\partial T} \right)_V = -\frac{\beta^2}{N} \frac{\partial U}{\partial \beta}$$

$$S = \frac{\beta}{N}(U - F) = S_0 + \int_0^T \frac{C_v(T')}{T'} dT'$$

(Specific heat)

(thermal entropy)

Exact diagonalization









Wang, Xiang, et al., (90's)

#### **Double-peak specific heat in Kitaev phase**

Specific heat & thermal entropy in spin-1 Kitaev chain



#### **Double-peak specific heat in Kitaev phase**

#### Understanding of low-T peak in specific heat

υ	$E_v$	degeneracy	$\Delta_v$	$ ilde{\Delta}_{\upsilon}/\Delta_{\kappa}$	
0	-3.63027662	1	0.00000000	0	
1	-3.45009088	6	0.18018574	1	
2	-3.38928222	6	0.24099440	$\sim 4/3$	
3	-3.33005874	2	0.30021788	$\sim 5/3$	
	The low-T p	eak relates	s to the larg	e degenei	racy of

the low-lying excited states.



#### **Spin-nematicity in Kitaev phase**

Spin-1 Kitaev chain with single-ion anisotropy



## Spin-nematicity in Kitaev phase



## Classical 2D Kitaev- $\Gamma$ model: K > 0



## Classical Kitaev- $\Gamma$ model: K > 0



for  $\forall$  fixed (a, b, c)

- **#** sublattice: 3
- $\Box$  # G.S.: 2<sup>3</sup> = 8
- > magnetically ordered state
  - for  $(a, b, c) = S/\sqrt{3}$
- $\Box$  AFM:  $\eta_1 = \eta_2 = \eta_3$ 
  - two sublattice
  - 2-fold degeneracy
- □ 120 order: otherwise
  - six sublattice
  - 6-fold degeneracy

Real-space perturbation theory

$$\mathbf{S}_{i} = S_{i}^{z} \mathbf{e}_{i}^{z} + S_{i}^{+} \mathbf{e}_{i}^{-} + S_{i}^{-} \mathbf{e}_{i}^{+}$$

$$\mathbf{e}_{i}^{\pm} = \frac{1}{2} (\mathbf{e}_{i}^{x} \pm i \mathbf{e}_{i}^{y})$$

$$\mathcal{H} = \frac{1}{2} \sum_{ij} \mathbf{S}_{i} \cdot \mathbf{A}_{ij} \cdot \mathbf{S}_{j} = \mathcal{H}_{0} + \mathcal{V}$$

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energy correlation

$$e_{cl} = -(\Gamma + K/2)S^2 - \frac{(\Gamma - K)^2 S}{32|\Gamma + 2K|} \left[ \left(\frac{a}{S}\right)^4 + \left(\frac{b}{S}\right)^4 + \left(\frac{c}{S}\right)^4 \right]$$



**Static structure factor:**  $S(K)/S(\Gamma') = 2/3$ 

$$\begin{split} & \mathbb{S}_{N}(\mathbf{q}) = \frac{1}{N} \sum_{ij} \sum_{\gamma} \langle S_{i}^{\gamma} S_{j}^{\gamma} \rangle e^{i\mathbf{q} \cdot (\mathbf{R}_{i} - \mathbf{R}_{j})} \\ & \mathbb{S}_{N}(\mathbf{\Gamma}') \propto \frac{S^{2}}{4} + \frac{\eta_{a}\eta_{b}ab + \eta_{b}\eta_{c}bc + \eta_{c}\eta_{a}ca}{2} = \frac{S^{2}}{4} \\ & \mathbb{S}_{N}(\mathbf{K}) \propto \frac{S^{2}}{6} - \frac{\eta_{a}\eta_{b}ab + \eta_{b}\eta_{c}bc + \eta_{c}\eta_{a}ca}{6} = \frac{S^{2}}{6} \end{split}$$

Hex. plaquette operator: Evidence of trimerization

$$\hat{W}_{p} = e^{i\pi(S_{1}^{x} + S_{2}^{y} + S_{3}^{z} + S_{4}^{x} + S_{5}^{y} + S_{6}^{z})} \begin{cases} W_{p,a} = 1 \\ W_{p,b} = 0 \\ W_{p,c} = 0 \end{cases} \stackrel{2}{}_{0} \stackrel{3}{}_{0} \stackrel{4}{}_{0} \stackrel{2}{}_{0} \stackrel{3}{}_{0} \stackrel{3}{}_{$$

Scalar spin chirality

$$\chi_{ijk}^{\bigtriangleup} = \left\langle \hat{\mathbf{S}}_i \cdot (\hat{\mathbf{S}}_j \times \hat{\mathbf{S}}_k) \right\rangle$$

$$\chi_{ijk}^{\Delta} = (\eta_a a)^3 + (\eta_b b)^3 + (\eta_c c)^3$$
$$- 3\eta_a \eta_b \eta_c abc$$



#### Columnar vs Plaquette pattern

When  $K > \Gamma (\phi/\pi < 0.25)$ , *K*-bonds should be stronger,

i leading to columnar-like dimer pattern



Quantum phase transition (24-site hexagonal cluster)



von Neumann entropy  $\mathcal{S}_{vN}(l) = -\operatorname{tr}(\rho_l \ln \rho_l)$   $hex. \ \text{plaquette operator}$   $\hat{W}_p = e^{i\pi(S_1^x + S_2^y + S_3^z + S_4^x + S_5^y + S_6^z)}$ 

high)

 $\uparrow$ 

low



Nematic order is the breaking of **rotational** symmetry in the presence of translational invariance.





#### Magnetic order parameter



#### Nematicity on long 2 × 18 × 3 cylinder



#### Robustness of the nematic order parameter

Phase	Parameter	N = 24	$2 \times 4 \times 3$	$2 \times 18 \times 3$
NF-I	$\phi/\pi = 0.97$	0.0592	0.0615	0.0649
NF-II	$\phi/\pi = 0.99$	0.0158	0.0139	0.0128

# The quantum phase diagram



computational efforts are required.

## Outline

# □ 朗道相变理论和新突破

- ➢ Ising模型中的相变
- ▶ Kosterlitz-Thouless相变和去禁闭量子临界点
- ▶ Luttinger液体和Haldane相

# □ 量子自旋液体和自旋轨道耦合型材料

- ▶ 量子自旋液体简介
- > 三角晶格上的量子材料
- ▶ 蜂窝晶格上的量子材料

# □ Kitaev-Γ模型中的物理

- ▶ 自旋S=1/2和1的Kitaev-Γ自旋链
- ▶ 自旋S=1的Kitaev-Γ模型



# 感谢各位老师同学批评指正!